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INTRODUCTION:

The hypothesis driving this proposal is that disruption of the integrity of the tumor stroma with a DNA vaccine against fibroblast activation protein (FAP), overexpressed on tumor-associated fibroblasts (TAFs), kills TAFs and thereby effectively eradicates primary tumors and metastases in a murine breast carcinoma model. Moreover, eradication of TAFs should decrease the interstitial fluid pressure in the tumor and lead to increased uptake of chemotherapeutic drugs, thereby increasing chemosensitivity of breast cancer cells. This combination therapy should result in more potent antitumor effects than single treatment therapies in our breast carcinoma model.

BODY:

We accomplished the majority of objectives outlined in Task 1. First, we developed and standardized orthotopic spontaneous and experimental pulmonary metastasis models of murine breast carcinoma in prophylactic and therapeutic settings of established breast carcinoma metastasis. This is described and also illustrated in Figs. 2A, B and C in our published manuscript (Loeffler, M. et. al., J.Clin. Invest. 116:1955-1962, 2006, see Appendix A). We also obtained the initial results suggesting that our FAP vaccine induces a CD8⁺ T cell-mediated immune response as illustrated in Figs. 3E and F (Appendix A). We also could demonstrate that the FAP vaccine could suppress in part primary breast carcinoma tumor growth (Figs. 2A, B, Appendix A).

Importantly, we established the initial proof of concept that the FAP vaccine administered in combination with Doxorubicin (Dox) chemotherapy was most effective in almost completely suppressing primary breast carcinoma growth in our mouse model (Fig. 5A, Appendix A) and that this same treatment regimen was significantly ($P < 0.0001$) more effective in prolonging the lifespan of 40% of experimental animals at least 3 fold when compared to controls who received either pFAP expression, vector + Dox, or empty expression vector. We also demonstrated that intratumoral Dox concentration of animals treated with the pFAP + Dox combination increased 70% over that of controls (Fig. 5C, Appendix A) and that this increase in Dox tumor uptake correlated with a marked decrease in collagen type 1 in breast carcinoma tissues (Fig. 4A, Appendix A).

Finally, since there is some concern that the FAP vaccine which decreases tumor angiogenesis might potentially prolong wound healing, we performed a classical wound healing experiment. In this case, circular wounds 3mm in diameter were inflicted on the upper back of vaccinated mice and control mice, respectively. A comparison of the time required for wound healing revealed essentially the same time (15 days) and a lack of any statistically significant difference suggesting that there was no real effect of the vaccine on prolonging the time required for healing (Fig. 5D, Appendix A).

KEY RESEARACH ACCOMPLISHMENTS:

We constructed and evaluated an oral DNA vaccine targeting fibroblast activation protein (FAP), which is specifically overexpressed by tumor-associated fibroblasts (TAFs) in the tumor stroma. This vaccine induced a CD8⁺ T cell-mediated killing of TAFs which successfully suppressed primary tumor cell growth and metastasis of multidrug-resistant murine breast carcinoma. The killing of TAFs markedly reduced collagen type 1 produced by them in breast carcinoma tissues and thereby increased doxorubicin uptake of breast cancer cells by up to 70%. Importantly, pFAP vaccinated mice treated with doxorubicin chemotherapy showed a 3-fold prolongation in lifespan and almost complete suppression of tumor growth, with 50% of the animals completely rejecting a tumor cell challenge.

REPORTABLE OUTCOMES:

U.S. Provisional Patent Application No. 60/815,316; TSRI Case 951.OP. Ref. LPC 0004P, filed 21 June 2006.
“DNA composition against stromal antigen FAP and methods of use thereafter”.

Loeffler, M., Krueger, J.A., Niethammer, A.G. and Reisfeld, R.A.

“Targeting tumor-associated fibroblasts improves cancer chemotherapy by increasing intratumoral drug uptake. J. Clin. Invest. 116:1955-1962, 2006.

To be reported in part at : International Cancer Vaccine Symposium, Vienna, Austria, April 12-14, 2007.

CONCLUSIONS:

The combination of a DNA vaccine targeting FAP, overexpressed on tumor-associated fibroblasts (TAFs), induces a CD8⁺ T cell-mediated immune response which kills TAFs. This, in turn, decreases the amount of collagen type 1 in breast tumor tissue and leads to a 70% increase of intratumoral uptake of doxorubicin. A combination therapy of this FAP-based DNA vaccine together with doxorubicin chemotherapy leads to an almost complete suppression of breast carcinoma growth in a mouse model system and 3-fold increase in lifespan of such animals, at least 50% of which completely reject a lethal tumor cell challenge following this combination therapy.

APPENDICES:

- A. "Targeting tumor-associated fibroblasts improves cancer chemotherapy by increasing intratumoral drug uptake. J. Clin. Invest. 116:1955-1962, 2006.



Targeting tumor-associated fibroblasts improves cancer chemotherapy by increasing intratumoral drug uptake

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Tumor-associated fibroblasts are key regulators of tumorigenesis. In contrast to tumor cells, which are genetically unstable and mutate frequently, the presence of genetically more stable fibroblasts in the tumor-stromal compartment makes them an optimal target for cancer immunotherapy. These cells are also the primary source of collagen type I, which contributes to decreased chemotherapeutic drug uptake in tumors and plays a significant role in regulating tumor sensitivity to a variety of chemotherapies. To specifically kill tumor-associated fibroblasts, we constructed an oral DNA vaccine targeting fibroblast activation protein (FAP), which is specifically overexpressed by fibroblasts in the tumor stroma. Through CD8⁺ T cell-mediated killing of tumor-associated fibroblasts, our vaccine successfully suppressed primary tumor cell growth and metastasis of multidrug-resistant murine colon and breast carcinoma. Furthermore, tumor tissue of FAP-vaccinated mice revealed markedly decreased collagen type I expression and up to 70% greater uptake of chemotherapeutic drugs. Most importantly, pFap-vaccinated mice treated with chemotherapy showed a 3-fold prolongation in lifespan and marked suppression of tumor growth, with 50% of the animals completely rejecting a tumor cell challenge. This strategy opens a new venue for the combination of immuno- and chemotherapies.

Introduction

It has become increasingly clear that tumor antigens are elusive targets for cancer therapy (1). This can be explained by a variety of characteristics unique to tumor cells, including mutations of their antigens and inadequate antigen presentation to T cells due to MHC class I antigen downregulation (2–4). Moreover, defects in apoptosis signaling pathways as well as upregulation of apoptosis inhibitors such as survivin, XIAP, or antiapoptotic members of the bcl-2 family (5) confer resistance not only to T cell-mediated killing but also to the apoptosis-inducing effect of chemotherapeutic agents. In order to overcome these obstacles, genetically more stable cells in the tumor stroma may prove to be a promising cellular target for cancer immunotherapy.

There is growing recognition that the stromal compartment plays a crucial role in tumorigenesis and invasion (6–8). Stromal cells have been shown to stimulate the transformation of normal epithelial cells, and to produce growth factors, cytokines, and chemokines that activate the adjacent ECM and induce the selection and expansion of neoplastic cells (6, 7). Earlier studies have demonstrated that tumor cells inoculated in suspension were not tumorigenic whereas fragments of solid tumors containing their stroma led to tumor growth (9). This activated ECM also conferred chemoresistance mediated by β_1 -integrins that adhere to fibronectin, leading to the activation of β_1 -integrin-stimulated tyrosine kinase, which suppresses chemotherapy-induced apoptosis. This was shown in doxorubicin-sensitive myeloma cells, which developed resistance after adherence to fibronectin (8). In fact, recent reports have indicated that tumor rejection can be achieved by

modulation of tumor-stromal fibroblasts (10) or by disturbance of the tumor-stromal network (11, 12). In addition, it has been demonstrated that tumor-associated macrophages and fibroblasts synthesize proteins, such as VEGF, TGF- β_1 , and IL-10, which contribute to the local immunosuppressive environment and render tumor cells more chemoresistant (13–17).

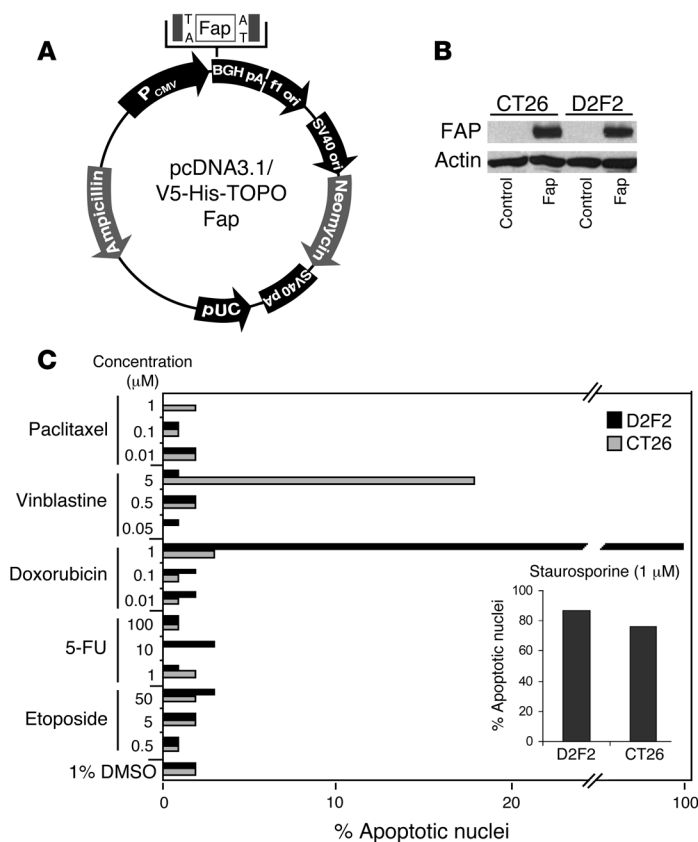
Fibroblasts in the tumor stroma synthesize fibroblast activation protein (FAP), a type II transmembrane protein that functions as a serine protease. FAP is selectively overexpressed in over 90% of stromal fibroblasts associated with colon, breast, and lung carcinomas (18). Transient overexpression of FAP can also be found during wound healing and in some fetal mesenchymal tissues. Additionally, this enzyme was reported to cleave gelatin and collagen type I (19) and has therefore been implicated in ECM remodeling. Overexpression of FAP reportedly leads to promotion of tumor growth and increases in metastatic potential, whereas treatment with anti-FAP antibodies or pulsed dendritic cells inhibits tumor growth (20, 21). Furthermore, tumor-stromal expression and organization of collagen type I, which is mainly produced by fibroblasts, is inversely correlated with intratumoral uptake of various molecules, such as IgG (22), BSA (23), or chemotherapeutic agents (24); this substantiates the involvement of the stromal compartment in resistance to chemotherapy. Fibroblasts in the tumor stroma also show signs of differentiation toward smooth muscle with contractile properties that may contribute to an increased interstitial fluid pressure (25).

Taken together, these findings suggest that the tumor-stromal antigen FAP can serve as a novel target for active vaccination against cancer, especially if combined with chemotherapy. We explored this possibility by demonstrating that an effective CD8⁺ T cell-mediated anti-FAP immune response could be induced that significantly suppressed primary tumors as well as established metastatic tumor growth of multidrug-resistant murine CT26 colon and D2F2 breast

Nonstandard abbreviations used: FAP, fibroblast activation protein; pFap, pcDNA3.1/V5-His-TOPO-Fap.

Conflict of interest: The authors have declared that no conflict of interest exists.

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**Figure 1**

Characterization of the FAP construct and chemoresistant tumor cell lines. **(A)** The cDNA encoding the entire murine FAP, verified by nucleotide sequencing, was inserted into the EcoRI site of the pFap vector. **(B)** Protein expression was demonstrated by Western blotting after transient transfection of CT26 and D2F2 cells. **(C)** CT26 colon and D2F2 breast carcinoma cells were treated with various chemotherapeutic agents at the concentrations indicated. After 48 hours of incubation, nuclear apoptosis was assessed by staining with Hoechst 33342 dye. Staurosporine was used as a positive control. P_{CMV}, human cytomegalovirus immediate-early promoter/enhancer; BGHpA, bovine growth hormone polyadenylation signal; f1 ori, f1 origin; SV40 ori, SV40 early promoter and origin; neomycin, neomycin (G418) resistance gene; SV40pa, SV40 polyadenylation signal; pUC, pUC-derived origin; ampicillin, ampicillin resistance gene (β-lactamase).

carcinoma cells. More importantly, we were able to demonstrate that interference with tumor-associated fibroblasts can serve as a novel strategy to decrease intratumoral collagen type I and thereby increase uptake of chemotherapeutic agents. Combination of chemotherapy with the FAP vaccine resulted in a marked decrease of primary breast tumor growth, with 50% of the animals completely rejecting the challenge and a 3-fold prolongation of survival in an experimental breast cancer metastasis model.

Results

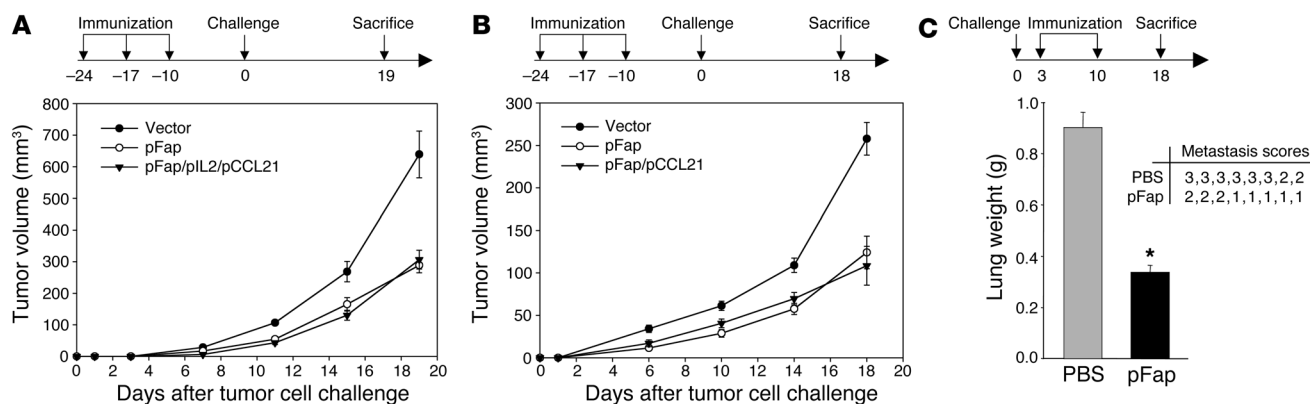
Construction and expression of the vector pcDNA3.1/V5-His-TOPO-Fap. After constructing the eukaryotic expression vector pcDNA3.1/V5-His-TOPO-Fap (pFap) as described in Methods (Figure 1A), we demonstrated the correct expression of the 88-kDa FAP protein by Western blotting of cell lysates from both transiently transfected CT26 colon carcinoma and D2F2 breast carcinoma cells, which themselves do not express FAP (Figure 1B).

Multidrug resistance of CT26 and D2F2 cell clones. After using the CT26 colon cancer and D2F2 breast cancer cell lines in our laboratory for several years, we found that clones of both cell lines had acquired chemoresistance. Upon addition of 5 apoptosis-inducing chemotherapeutic agents (Figure 1C), solely vinblastine was able to induce minor apoptotic effects in the CT26 colon carcinoma clone, although only at the very high concentration of 5 μM. It has previously been reported that the IC₅₀ for 5-fluorouracil of the original CT26 cell line is 1.85 μM (22). However, we were unable to induce nuclear apoptosis in our clone even at a concentration as high as 100 μM. In D2F2 breast carcinoma cells, only doxorubicin, tested at the highest concentration of 1 μM, was able to induce nuclear apoptosis as determined by staining with Hoechst 33342

dye. We thus concluded that both cell lines had acquired multidrug resistance during the prolonged handling in our laboratory.

Prophylactic treatment with the FAP-based DNA vaccine inhibits primary tumor growth. Ten days after the last of 3 immunizations by gavage at 1-week intervals, we challenged different groups of BALB/c mice ($n = 8$) either s.c. with CT26 colon carcinoma cells or orthotopically with D2F2 breast carcinoma cells as described in Methods. We were able to show that an orally administrated DNA vaccine encoding murine FAP could indeed suppress primary tumor growth of both the multidrug-resistant CT26 clone (Figure 2A) and the D2F2 clone (Figure 2B). To investigate whether we could further improve the efficacy of the vaccine, we combined it, first, with a vector encoding chemokine CCL21 (pCCL21) that had been previously shown to attract mature dendritic cells (23) as well as naive T cells (24), and second, with a vector encoding IL-2 (pIL2), a known inducer of T cell proliferation (25). However, the combination of the FAP vaccine with pCCL21 alone (Figure 2B) or with pIL2 and pCCL21 (Figure 2A) did not further improve its efficacy.

Vaccine against FAP reduces growth of established metastasis in a therapeutic setting. To determine whether the FAP vaccine could also inhibit tumor growth in a therapeutic setting of established experimental metastases, BALB/c mice were challenged i.v. with 1×10^5 CT26 colon carcinoma cells and then vaccinated on days 3 and 10 thereafter. We observed a significant decrease in tumor lung weights in pFap-vaccinated mice compared with the control group, which exhibited extensive metastases and showed severe signs of tumor cachexia 18 days after tumor cell inoculation (Figure 2C). Mice in the pFap group also showed a significant decrease in metastases on the lung surface as indicated by the corresponding metastasis scores (Figure 2C).

**Figure 2**

Effect of the FAP-based DNA vaccine on tumor growth. (A and B) Prophylactic setting. Ten days after the last of 3 vaccinations at 1-week intervals, performed as described in Methods, BALB/c mice ($n = 8$) were challenged s.c. with a lethal dose of 3×10^4 CT26 cells (A) or orthotopically with a lethal dose of 3×10^5 D2F2 cells (B). The mean \pm SEM of tumor growth of 8 mice is depicted. $P < 0.01$. (C) Therapeutic setting. BALB/c mice ($n = 8$) were first injected i.v. with 10^5 CT26 cells and then vaccinated after 3 and 10 days once pulmonary metastases were established. After 18 days, lungs were weighed (left panel, mean \pm SEM), examined for metastases, and scored by a visual evaluation (right panel) assessing the percentage of lung surface covered by fused metastases as follows: 0 = 0%, 1 = <20%, 2 = 20–50%, 3 = >50%. * $P < 0.01$.

CD8⁺ T cells mediate an effective antitumor immune response. In order to determine which effector cell population accounts for the observed antitumor immune response, we challenged mice that were immunized i.v. 3 times at 1-week intervals with 1×10^5 CT26 cells and depleted their respective effector cells during the effector phase with antibodies against CD4⁺ or CD8⁺ T cells as well as NK cells (Figure 3A). We were able to establish a decisive role for CD8⁺ T cells, as we previously observed in a similar attempt with another DNA-based vaccine (26). Depletion of CD4⁺ cells and NK cells did not decrease the effectiveness of our vaccine.

To assess whether vaccination with our DNA-based FAP vaccine is able to break peripheral T cell tolerance against this self-antigen, we purified CD8⁺ T cells from animals vaccinated with vectors encoding the empty vector or FAP. We then stimulated these cells with γ -irradiated tumor target cells and incubated them with live tumor target cells that were transiently transfected with either GFP as a control or GFP plus pFap. As shown (Figure 3B), only CD8⁺ T cells purified from mice vaccinated with pFap were able to induce nuclear apoptosis as assessed by staining of pFap-transfected target cells with Hoechst 33342 dye. Splenocytes of immunized mice were also used in a conventional ^{51}Cr -release assay. To this end, splenocytes from mice immunized with pFap and empty vector were incubated for 5 days with γ -irradiated A31 fibroblasts, which were retrovirally infected with pFap. Stimulated splenocytes were then incubated for 4 hours with labeled A31-pFap cells, and the percentage of lysis was calculated. Splenocytes from mice vaccinated with pFap were able to lyse significantly more fibroblasts than those from empty-vector controls at target-to-effector ratios of 1:100 and 1:25 (Figure 3C). Coincubation with anti-MHC class I antibodies abolished this effect (Figure 3D). To investigate whether tumors of pFap-vaccinated mice show an increase in CD8⁺ T cell infiltration, mice were immunized 3 times with either pFap or empty vector and then challenged with 3×10^4 CT26 tumor cells. After 3 weeks, tumors were harvested, and single-cell suspensions were stained for CD3⁺ and CD8⁺ cells and analyzed by FACS. Mice vaccinated with pFap showed a marked increase in CD3⁺CD8⁺ cells in the tumor tissue when compared

with the empty-vector controls (Figure 3E). Tumor sections were also stained with anti-CD8⁺ FITC and DAPI nuclear stain. By confocal microscopy, tumors of pFap-vaccinated mice showed a more marked infiltration with CD8⁺ cells than mice vaccinated with empty vector (Figure 3F). Taken together, these findings demonstrate that a DNA vaccine targeting FAP can overcome peripheral T cell tolerance against the FAP self-antigen.

Suppression of collagen type I expression increases intratumoral uptake of fluorescein, albumin, and 5-fluorouracil. Fibroblasts are the primary source of collagen type I, and it has been shown recently that expression of this molecule correlates inversely with intratumoral uptake of compounds of varying molecular weights (22–24). To evaluate whether this same mechanism is applicable to our FAP vaccine, we stained tumor sections of vaccinated mice with antibodies against FAP (Figure 4A, top) or against collagen type I (Figure 4A, bottom). We were able to detect a decrease in the expression of FAP as well as collagen type I in groups of mice vaccinated with pFap as compared with mice vaccinated only with the empty vector. Corresponding Western blots of these tumor extracts, stained with the same antibodies, revealed an $82.63\% \pm 2.54\%$ decrease in FAP expression (Figure 4B, top) and a $76.36\% \pm 2.01\%$ decrease in collagen type I expression (Figure 4B, middle).

We then injected mice with 1 of 3 structurally different compounds, fluorescein (376 Da) (Figure 4C), Evans blue albumin (68,500 Da) (Figure 4D), or the chemotherapeutic agent 5-fluorouracil (130 Da) (Figure 4E), as described in Methods. Tumors of mice immunized with pFap DNA vaccines incorporated significantly more ($P < 0.05$) of these respective molecules than those of mice given the control vaccine.

Combination of vaccine and chemotherapy leads to tumor rejection. In order to evaluate the extent to which the findings depicted in Figure 4 suggest clinical relevance, we combined our DNA-based FAP vaccine with the chemotherapeutic drug doxorubicin, to which our D2F2 clone was partially sensitive (Figure 1C). In a manner similar to that of the experimental design shown in Figure 2B, we vaccinated BALB/c mice ($n = 8$) with the empty control vector or the treatment vector pFap and then challenged these mice ortho-

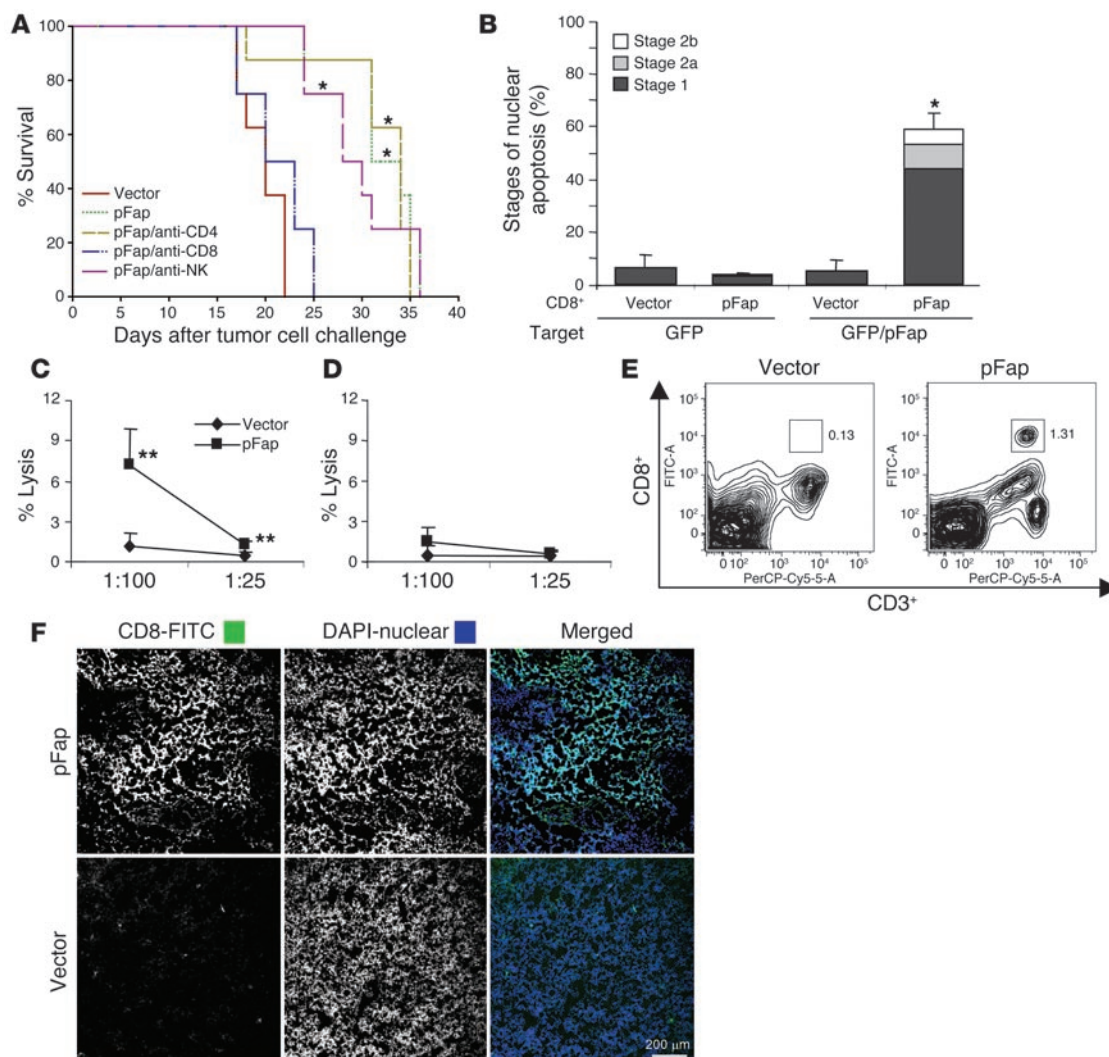


Figure 3
Antitumor effects of vaccine are mediated by CD8⁺ T cells. **(A)** The effect on lifespan of antibody-mediated depletion during the effector phase in vaccinated mice (3 times at 1-week intervals) challenged i.v. with 10⁵ CT26 cells. **(B)** CD8⁺ T cells were purified from spleens of vaccinated mice, stimulated with γ -irradiated tumor target cells, and then incubated for 48 hours with GFP- or GFP/pFap-transfected CT26 cells. Nuclear apoptosis was assessed by staining with Hoechst 33342 dye as follows: nuclear apoptosis stage 0, no apoptosis; stage 1, large-scale chromatin condensation; stage 2a, chromatin fragmentation; stage 2b, apoptotic bodies. **(C and D)** Splenocytes from pFap- and empty vector-immunized mice ($n = 3$) were stimulated for 5 days with pFap-transfected A31 fibroblasts and then subjected to a ⁵¹Cr-release assay. **(D)** Effector and target cells were coincubated with anti-MHC class I antibodies (mean \pm SD). **(E)** FACS analysis of single-cell suspensions of CT26 tumors of vaccinated mice ($n = 2$) stained with anti-CD3⁺ PerCP-Cy5.5 and anti-CD8⁺ FITC antibodies. One of two experiments is depicted. **(F)** Representative sections of CT26 tumors of pFap- and empty vector-vaccinated mice stained with anti-CD8⁺ FITC antibodies and DAPI nuclear stain. *Statistically significant compared with vector group, $P < 0.01$. **Statistically significant compared with empty vector, $P < 0.05$.

topically with D2F2 breast carcinoma cells. We then treated these 2 groups of mice with either doxorubicin or PBS as a control 5, 10, and 15 days after tumor challenge. As shown, single treatments with either immunotherapy (pFap) or chemotherapy were able only to suppress but not to eradicate tumor growth (Figure 5A). In contrast, the group of mice treated with pFap and doxorubicin in combination revealed not only a marked inhibition of tumor growth, but also a complete tumor rejection in 4 of 8 mice (Figure 5A). In another combination therapy approach in a therapeutic setting, we first challenged BALB/c mice ($n = 8$) i.v. with D2F2 tumor cells. Once experimental metastasis had been established after 5 days, the mice were treated weekly with our FAP vaccine.

One day after each immunization, the mice were injected i.v. with doxorubicin. As a result of this combination treatment, the lifespan of these mice exceeded by more than 3 times that of control mice treated with doxorubicin or pFap alone, which died after only about 35–45 days (Figure 5B).

Vaccine against FAP increases intratumoral uptake of doxorubicin. To determine the doxorubicin concentration in the tumor tissue, we immunized mice ($n = 4$) 3 times at 1-week intervals, challenged them 7 days later with 5×10^5 D2F2 tumor cells, and injected them 16 days later i.v. with doxorubicin to determine the intratumoral drug concentration with liquid chromatography and mass spectrometry (LC-MS). We found that, like tissues of mice injected

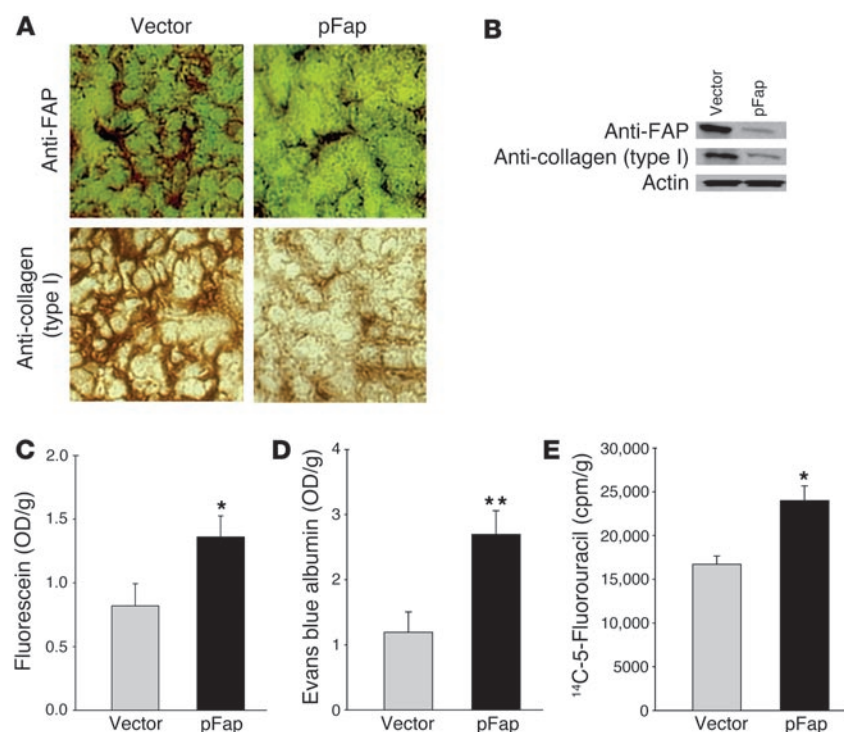


Figure 4

Expression of FAP and collagen type I and intratumoral uptake of fluorescein, albumin, and 5-fluorouracil. (A) Immunohistochemical analysis of FAP (top) and collagen type I (bottom) expression in s.c. CT26 tumors of vaccinated mice. (B) Immunoblots of FAP and collagen type I in s.c. CT26 tumors of vaccinated mice. (C–E) Bar graphs indicate mean + SEM of OD or scintillation measurements of homogenates of s.c. CT26 tumors from vaccinated BALB/c mice ($n = 4$), after i.p. injection of fluorescein, i.v. injection of Evans blue albumin, or i.v. injection of ^{14}C -5-fluorouracil. * $P < 0.05$, ** $P < 0.01$.

with fluorescein, albumin, and 5-fluorouracil (Figure 4, C–E), tissues of mice vaccinated with pFap showed a significant increase of doxorubicin in the tumor (Figure 5C).

The DNA vaccine against FAP does not impair wound healing or damage normal tissue. The fact that FAP is overexpressed during wound healing led us to evaluate whether a decrease in the number of cells expressing FAP could also lead to a prolongation of the time required to achieve complete wound healing. After inflicting a circular wound 3 mm in diameter on the backs of vaccinated BALB/c mice ($n = 4$), we did not observe any significant difference in wound healing between vaccinated and nonvaccinated mice (Figure 5D). Furthermore, histological assessment of these wounds by a mouse pathologist after different durations of time revealed no qualitative abnormalities in the wound healing process. To exclude any autoimmune reactions induced by our FAP vaccine, comprehensive histology of the following tissues and organs was performed: skin, brain, spinal cord, muscle, bone, synovium, heart, aorta, pulmonary artery, thymus, spleen, lymph nodes, bone marrow, parathyroid, adrenal gland, kidney, uterus, vagina, clitoral gland, tongue, liver, lungs, pancreas, stomach, small intestine, and colon. No discernible differences as compared with control mice were observed.

Discussion

We have demonstrated, for the first time to our knowledge, that the tumor-stromal antigen FAP can serve as a target for T cell-mediated cancer immunotherapy. This approach has several well-documented advantages over therapies directed against antigens solely expressed by tumor cells. First, stromal fibroblasts are genetically more stable than frequently mutating, heterogeneous tumor cell populations (2–4). As such, the expression of the target antigen remains more stable and serves as a more reliable target for immunotherapy. Second, antigen presentation by stromal fibroblasts to the T cell receptor complex is not impaired by downregulated

MHC class I antigen expression, as is frequently the case in human tumor cells (27, 28). Third, tumor cells often become increasingly resistant to T cell-mediated killing because of defects in apoptosis signaling pathways, upregulation of antiapoptotic proteins, or immunosuppressive effects against CTLs (1, 5). Fourth, targeting FAP, specifically overexpressed in over 90% of colon, breast, and lung carcinomas (18), allows for a therapeutic approach to treat a number of different malignancies, in contrast to therapies involving antigens that are expressed solely by specific tumor types.

Our experimental data demonstrate that we can break peripheral T cell tolerance against the FAP self-antigen by delivering its cDNA as an oral DNA vaccine with a doubly attenuated strain of *Salmonella typhimurium* to APCs in a secondary lymphoid organ, i.e., Peyer's patches of the small intestine. In prophylactic as well as therapeutic settings, the CD8⁺ T cell-mediated antitumor immune response induced by pFap vaccination inhibited tumor growth and significantly suppressed the dissemination of established pulmonary metastases in different murine tumor models, i.e., multidrug-resistant CT26 colon and D2F2 breast carcinoma. Additionally, we previously demonstrated that the administration of a DNA vaccine, using attenuated *S. typhimurium* as the vaccine carrier, can prolong antitumor effects up to 10 months and also achieve marked upregulation of T cell, NK cell, and dendritic cell activation markers (26, 29). Furthermore, in vivo depletion of T and NK cells in our current experiments indicates the involvement of CD8⁺ but not CD4⁺ T or NK cells. As shown here, the cytotoxic effect mediated by CD8⁺ T cells in vitro was specifically directed against target cells overexpressing the FAP antigen. We can also rule out nonspecific immune responses, since only CD8⁺ T cells from pFap-vaccinated mice induced in vitro cytotoxic lysis of tumor cells and fibroblasts overexpressing FAP. Moreover, tumors of pFap-vaccinated mice showed a more pronounced infiltration with CD8⁺ T cells than tumors of mice vaccinated with the empty-

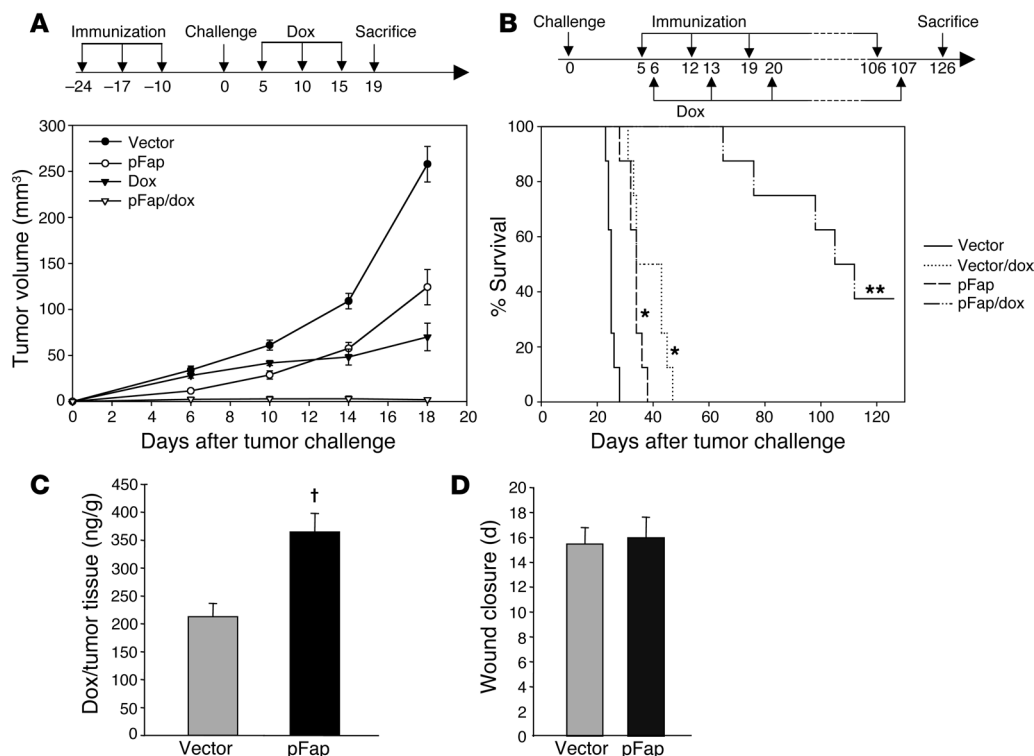


Figure 5

Antitumor and antimetastatic effects of combined bio- and chemotherapy and side effects. **(A)** Prophylactic setting. Ten days after the last of 3 vaccinations at 1-week intervals with empty vector, PBS, or pFap, BALB/c mice ($n = 8$, mean \pm SEM) were challenged orthotopically with 3×10^5 D2F2 cells. After 5, 10, and 15 days, indicated mice were treated with doxorubicin (dox). **(B)** Therapeutic setting. Five days after i.v. injection of 10^5 D2F2 tumor cells, BALB/c mice ($n = 8$) were treated weekly with pFap or empty vector. One day after each immunization, mice were treated with doxorubicin i.v. as indicated (*statistically significant compared with vector group, $P < 0.0001$; **statistically significant compared with vector, vector/dox, and pFap groups, $P < 0.0001$). **(C)** Intratumoral doxorubicin concentration. Vaccinated BALB/c mice ($n = 4$) were challenged s.c. with 5×10^5 D2F2 cells, and after 16 days the doxorubicin concentration in pooled tumor lysates was determined by liquid chromatography and mass spectrometry (LC-MS) (representative of 2 experiments, mean \pm SD, $^{\dagger}P < 0.001$). **(D)** Circular wounds 3 mm in diameter were inflicted on the upper backs of vaccinated mice ($n = 4$), and the average time until complete wound closure was measured (mean \pm SD).

vector control. Even though FAP can also be found transiently overexpressed during wound healing, vaccination against FAP did not impair wound healing, nor did it cause any discernible autoimmune reactions, in the 26 tissues and organs examined histologically. Functional redundancy of proteins with overlapping function may account for this observation (30).

We also tested 3 different effector molecules as adjuvants in hopes of improving the efficacy of our vaccine: IL-2, an inducer of T cell proliferation (31); CCL21, a chemokine that chemoattracts mature dendritic cells (32) and naive T cells (33); and CD40LT, a known inducer of dendritic cell maturation (34) (data not shown). Coadministration of all 3 of these effector molecules failed to improve the efficacy of the vaccine. This indicates that the CTL response induced against tumor-stromal fibroblasts is sufficient to inhibit the growth of different tumors, but not adequate to reject them as a single entity. This may be due to the fact that tumor cells and tumor-associated macrophages can elicit redundancy by synthesizing a variety of tumor-promoting proteins, such as VEGF (35, 36), TGF- β_1 (37, 38), and IL-10 (38, 39), the serum concentrations of which we were unable to lower with our vaccine (data not shown).

The rationale for combining cancer vaccines with chemotherapy has been extensively documented and reviewed elsewhere (40). In

addition to the immunostimulatory potential of various chemotherapeutics, such as doxorubicin, paclitaxel, and cyclophosphamide, which do not cause bone marrow suppression when administered at low doses, the combination of our FAP vaccine with such drugs offers an additional advantage, specifically the downregulation of collagen type I expression, and consequently, increased intratumoral uptake of different chemotherapeutic agents. We demonstrated this with 3 structurally unrelated molecules: the low-molecular-weight dye fluorescein (376 Da), the high-molecular-weight compound Evans blue albumin (68,500 Da), and the chemotherapeutic agent 5-fluorouracil (130 Da). Seemingly, the changes that occur in the pFap-vaccinated animals facilitate both processes responsible for intratumoral transport, i.e., diffusion for small molecules as well as convection for larger molecules (41–44). These findings support a novel rationale for improving chemotherapy by combining it with immunotherapy. Indeed, we demonstrated that the combination of a DNA vaccine encoding FAP plus doxorubicin can induce complete rejection of orthotopically grown breast tumors in 50% of mice as well as a more than 3-fold prolongation of the lifespan of mice with experimentally induced breast cancer metastases, a result that was not achieved when either of these treatments was applied alone. Furthermore, we demonstrated that the amount of doxorubicin in the tumor tissue



of pFap-vaccinated mice is significantly increased when compared with that in control mice vaccinated with empty vector.

Taken together, our findings demonstrate, for the first time to our knowledge, the efficacy of an oral DNA vaccine in eliciting an immune response mediated by CD8⁺ T cells against the tumorstromal antigen FAP. More importantly, our DNA-based vaccine against FAP, when combined with doxorubicin, was able to inhibit tumor growth and lead to complete tumor rejection in 50% of mice. In addition we observed a more than 3-fold prolonged lifespan in a breast cancer model of experimental metastases, which was at least partly due to increased drug uptake at the tumor site. It is anticipated that this and other studies that involve combinations of immuno- and chemotherapies may soon lead to new approaches in clinical practice and improvements in currently available treatments for cancer.

Methods

Animals, bacterial strains, and cell lines. Female BALB/c mice, 6–8 weeks of age, were obtained from The Scripps Research Institute Rodent Breeding Facility. All animal experiments were performed according to the NIH Guide for the Care and Use of Laboratory Animals and approved by The Scripps Research Institute Animal Care Committee. The doubly attenuated *S. typhimurium* strain RE88 (*AroA*[−]*dam*[−]) was provided by Remedyne Corp. Murine D2F2 breast carcinoma cells were a gift from Wei-Zen Wei (Department of Immunology, Karmanos Cancer Institute, Detroit, Michigan, USA), and CT26 colon carcinoma cells were originally obtained from ATCC and then cultivated over several months to obtain chemoresistant subclones.

Vector and cell-line characterization. The cDNAs encoding murine FAP (provided by J.D. Cheng, Department of Medical Oncology, Fox Chase Cancer Center, Philadelphia, Pennsylvania, USA), IL-2 (ATCC), and CCL21 (InvivoGen) were subcloned into the pcDNA3.1/V5-His-TOPO vector (Invitrogen). For transient transfections, CT26 or D2F2 cells were electroporated as described previously (45). Protein expression of pFap (pCCL21 and pIL2 not shown) was demonstrated by Western blotting with a polyclonal rabbit anti-murine FAP antibody provided by J.D. Cheng. Murine CT26 and D2F2 carcinoma cells were cultured in the presence of 1% DMSO, etoposide, 5-fluorouracil, doxorubicin, vinblastine, or paclitaxel (Sigma-Aldrich) at the concentrations indicated. After 48 hours, apoptotic nuclei were counted after incubation with the DNA-specific Hoechst 33342 dye (2 μ M; Invitrogen).

Oral immunization, tumor cell challenge, and treatment with doxorubicin and antibodies against effector cells. For experiments in a prophylactic setting, BALB/c mice ($n = 8$) were immunized 3 times at 1-week intervals by gavage with 100 μ l PBS containing 10^9 *S. typhimurium* (*AroA*[−]*dam*[−]) transformed with the plasmid vectors indicated, as described previously (26). Animals were challenged 10 days later by s.c. injection of 3×10^4 CT26 colon carcinoma cells in the left front flank or by orthotopic injection of 3×10^5 D2F2 breast cancer cells in the no. 4 mammary fat pad. Tumor volume was measured in 2 dimensions and calculated as length/2 \times width². In the experimental therapeutic setting, the initial i.v. tumor cell inoculation with 1×10^5 CT26 colon carcinoma cells was followed 3 and 10 days later by oral vaccinations. After 18 days, lungs were weighed (normal lung weight = 0.2 g), and pulmonary tumor metastases were examined and scored by visual evaluation assessing the percentage of lung surface covered by fused metastases as follows: 0 = 0%, 1 = <20%, 2 = 20–50%, 3 = >50%. Treatment with doxorubicin was performed in experimental groups of mice ($n = 8$) with 10 mg/kg doxorubicin (Sigma-Aldrich) administered i.v. 5, 10, and 15 days after tumor cell challenge in the prophylactic setting. In the therapeutic setting, mice were immunized weekly starting on day 5 after tumor cell challenge; doxorubicin was given weekly always 1 day after the immunization as indicated.

For the depletion of CD4⁺ and CD8⁺ T cells or NK cell subpopulations, antibodies (500 μ g) directed against either CD4 (clone GK 1.5) or CD8 (clone 2.43), both from National Cell Culture Center (Minneapolis, Minnesota, USA), or anti-asialo-GM1 antibody (Wako) were injected i.p. every 7 days starting 1 day before tumor cell challenge.

Cytotoxicity of CD8⁺ T cells. Ten days after the last of 3 immunizations at 1-week intervals, splenocytes from vaccinated groups of BALB/c mice ($n = 4$) were collected. Using CD8a MicroBeads (Miltenyi Biotec), CD8⁺ cells were purified according to the manufacturer's protocol. The cells were then stimulated in a 5-day coculture with γ -irradiated (1,000 Gy, 45 minutes) CT26 cells transiently transfected with either empty vector or pFap. Thereafter, CD8⁺ T cells were cocultivated with CT26 carcinoma cells (effector-to-target ratio = 100:1) transiently transfected with either GFP (pEGFP; Clontech) as a control or GFP plus pFap. After 48 hours, nuclear apoptosis was assessed as described previously (45) with the DNA-specific Hoechst 33342 dye (2 μ M). For the ⁵¹Cr-release assay, BALB/c mice ($n = 3$) were immunized 4 times at weekly intervals. Splenocytes were harvested 13 days after the last immunization and incubated for 5 days with γ -irradiated (1,000 Gy, 45 minutes) A31 fibroblasts (ATCC), which were retrovirally infected with pFap. Stimulated splenocytes were then incubated for 4 hours with labeled A31-pFap, and the percentage of lysis was calculated. Cells were also cocultivated with anti-MHC class I antibodies (BD Biosciences) at a concentration of 10 μ g/ml.

Immunohistochemistry and T cell infiltration. Cryosections (8 μ m) of tumors were fixed in acetone. After incubation with the primary antibody, either a polyclonal rabbit anti-murine FAP antibody (provided by J.D. Cheng) or a polyclonal rabbit anti-murine collagen type I antibody (Chemicon International), the sections were immunostained according to the manufacturer's protocol (Universal LSAB 2 Single Reagents, Peroxidase; Dako). For focal microscopy, fixated cryosections were stained with anti-murine CD8 antibody, biotinylated anti-rat Ig secondary antibody, and FITC-labeled streptavidin (BD Biosciences) and costained with DAPI (Sigma-Aldrich). A Bio-Rad (Zeiss) Radiance 2100 Rainbow laser scanning confocal microscope was used to obtain images, which were processed using Zeiss LSM Image Examiner software. For FACS analysis of T cell infiltration, BALB/c mice ($n = 6$) were immunized 3 times at weekly intervals with either pFap or empty vector. One week after the last immunization, animals were challenged s.c. with 3×10^5 CT26 tumor cells. Tumors were harvested 3 weeks later, and single-cell suspensions were prepared by incubation of sliced tumor tissue for 45 minutes in medium supplemented with collagenase type I (125 U/ml; Invitrogen). After filtering, cells of 2 mice were pooled, stained with anti-CD3⁺ PerCp-Cy5.5 and anti-CD8⁺ FITC (BD Biosciences), and analyzed by FACS.

Intratumoral uptake of fluorescein, Evans blue albumin, ¹⁴C-5-fluorouracil, and doxorubicin. Ten days after the last of 3 vaccinations at 1-week intervals, mice were challenged s.c. with 3×10^4 CT26 cells in the left front flank. Nineteen days later, mice were given injections of 1% fluorescein sodium (Sigma-Aldrich) i.p. at 12 μ l/g of body weight, 100 μ l Evans blue albumin (Sigma-Aldrich) i.v., or 2.5 μ Ci ¹⁴C-5-fluorouracil (Sigma-Aldrich) i.v. After 5 minutes, 30 minutes, or 1 hour, respectively, mice were killed to determine absorption or scintillation of the supernatants of tumor homogenates at 490 nm, at 612 nm, or in a gamma counter, respectively. For the determination of intratumoral doxorubicin uptake, BALB/c mice ($n = 4$) were challenged s.c. with 5×10^5 D2F2 cells in the right flank. Doxorubicin was injected i.v. 16 days later (10 mg/kg) and tumors harvested 45 minutes thereafter. Samples were prepared as described previously (46) and measured against the internal standard daunorubicin using a ZORBAX Eclipse XDB-C8 column on an 1100 series liquid chromatography-mass spectrometry (LC-MS) system (Agilent Technologies).

Evaluation of side effects. In order to determine any detrimental effects on wound healing, wounding was performed as previously described



(47, 48). We inflicted a circular wound 3 mm in diameter with a dermal punch (Miltenyi Biotec Inc.) on the upper backs of BALB/c mice ($n = 4$), 10 days after the last of 3 immunizations at 1-week intervals, and measured the time until complete wound closure. For histological analysis, we inflicted wounds on vaccinated mice 7, 14, and 21 days before examination. Skin biopsies and 26 organs and tissues were examined by a mouse pathologist.

Statistics. The statistical significance of differential findings between experimental groups and controls was determined by 2-tailed Student's t test. The significance of metastasis scores was determined by Mann-Whitney U test. The significance of survival data was determined by the log-rank test. Findings were regarded as significant if P values were less than 0.05.

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